## Attorney Docket No. M2010-7114



David P. Prince

Serial No.:

10/734,395

Filed:

December 12, 2003

For:

SYSTEMS AND METHODS FOR DETECTING DEFECTS IN

PRINTED SOLDER PASTE

Examiner:

Not Yet Assigned

Art Unit:

2623

Confirmation No.:

9763

## **CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 2nd day of September, 2004.

Keith F. Noe, Esq. (Reg. No. 34,686)

## MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

Transmitted herewith are the following documents in connection with the above-identified patent application:

- Information Disclosure Statement (3 pgs.); [X]
- PTO Form 1449 (in duplicate 1 pg.); [X]
- References Cited (A1-A2, A7, A9 and C4); and [X]
- Return Receipt Postcard [X]

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

The Commissioner is hereby authorized to charge any deficiencies or overpayment to Deposit Account No. 50/2762, Ref. No. M2010-7114. A duplicate copy of this transmittal is enclosed.

Respectfully submitted,

Keith F. Noe, Esq. (Reg. No. 34,686)

LOWRIE, LANDO & ANASTASI, LLP

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Cambridge, MA 02142

Tel.: (617) 395-7039 Fax: (617) 395-7070

Attorney for Applicant

Dated: September 2, 2004

748821.1





#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

David P. Prince

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### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## STATEMENT FILED PURSUANT TO THE DUTY OF **DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

## PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case. No fee or certification is required.

## PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicants would like to bring to the Examiner's attention the enclosed search report from a corresponding International or Foreign National Application.

Applicant: David P. Prince U.S.S.N.: 10/734,395

## PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

Applicant: David P. Prince U.S.S.N.: 10/734,395

An early and favorable action is hereby requested.

Respectfully submitted,

Bv:

Keith F. Noe, Esq. (Reg. No. 34,686) LOWRIE, LANDO & ANASTASI, LLP

Riverfront Office Park

One Main Street

Cambridge, MA 02142

Tel.: (617) 395-7039 Fax: (617) 395-7070

Date: September 2, 2004

Attorney Docket No.: M2010-7114

SEP 0 7 2004

ORM PTO-1449 A and B (Modified)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICATION NO.: 10/734,395

ATTY. DOCKET NO.: M2010-7114

FILING DATE:

December 12, 2003

CONFIRMATION NO.: 9763

APPLICANT:

David P. Prince

GROUP ART UNIT: 2623

EXAMINER:

Not Yet Assigned

#### U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication or of issue	
		Number	Kind Code	Document	of Cited Document MM-DD-YYYY	
	<b>A</b> 1	Re. 34,615		Freeman	05/24/1994	
	A2	5,060,063		Freeman	10/22/1991	
	A3	*5,172,420		Ray et al.	12/15/1992	
	A4	*5,455,870		Sepai et al.	10/03/1995	
	<b>A</b> 5	*5,801,965		Takagi et al.	09/01/1998	
	A6	*5,873,939		Doyle et al.	02/23/1999	
	A7	6,324,973	B2	Rossmeisl et al.	12/04/2001	
	A8	*6,362,877	B1	Kobayashi et al.	03/26/2002	
	A9	6,738,505	B1	Prince	05/18/2004	

FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document		ent	Name of Patentee or Applicant of Cited Document	Date of Publication of	Translation
		Office/ Country	Number	Kind Code	(not necessary)	Cited Document MM-DD-YYYY	(Y/N)
	B1	*GB	2 286 670	Α	Jenoptik Technologie GmbH	08/23/1995	Y
	B2	*WO	95/16247		The Royal Holloway and Bedford New College	06/15/1995	Y
	В3	*WO	98/37741		MPM Corporation	08/27/1998	Y

OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner's	the difference of the dames (in order than bell i bits) the of the latter (when appropriate), the of the lite							
Initials	Cite No.							
	C1	*Ritter et al., "Handbook of Computer Vision Algorithms in Image Algebra," CRC Press, Inc., Boca Raton, FL (1996), Ch. 2, 3 and 4 (pp. 51-141).	Y					
	C2	*Russ, John C., "The Image Processing Handbook," 2 <sup>nd</sup> Edition, CRC Press, Inc., Boca Raton, FL (1995), pp. 155-160, 211-237, 259-262, 361-371, 481-500.	Y					
	C3	*Cognex Press Release, "Cognex Introduces New Machine Vision System for Detecting Screen Printing Defects on Printed Circuit Boards," (2 pgs.); http://www.cognex.com/press/release/products/pasteinspect.htm.	Y					
_	C4	International Search Report for PCT/US00/12121 mailed September 1, 2000.						
EXAMINER	1	DATE CONSIDERED	<u></u>					

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

<sup>\*</sup>a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. 09/304,699, filed 05/04/1999, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

nd B (Modified)

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FILING DATE:

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APPLICANT:

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**GROUP ART UNIT: 2623** 

**EXAMINER:** 

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Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication or of issue	
		Number	Kind Code	Document	of Cited Document MM-DD-YYYY	
	A1	Re. 34,615		Freeman	05/24/1994	
	A2	5,060,063		Freeman	10/22/1991	
	A3	*5,172,420		Ray et al.	12/15/1992	
·	A4	*5,455,870		Sepai et al.	10/03/1995	
	A5	*5,801,965		Takagi et al.	09/01/1998	
	A6	*5,873,939		Doyle et al.	02/23/1999	
	A7	6,324,973	B2	Rossmeisl et al.	12/04/2001	
	A8	*6,362,877	B1	Kobayashi et al.	03/26/2002	
	A9	6,738,505	B1	Prince	05/18/2004	

FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document		ent	Name of Patentee or Applicant of Cited Document	Date of Publication of	Teomolotica
		Office/ Country	Number	Kind Code	(not necessary)	Cited Document MM-DD-YYYY	Translation (Y/N)
	B1	*GB	2 286 670	Α	Jenoptik Technologie GmbH	08/23/1995	Y
	B2	*WO	95/16247		The Royal Holloway and Bedford New College	06/15/1995	Y
	B3	*WO	98/37741		MPM Corporation	08/27/1998	Y

OTHER ART — NON PATENT LITERATURE DOCUMENTS

	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate) title of the item	Translation				
Cite	(book, magazine, journal, serial, symposium, catalog, etc.), date relevant page(s), volume issue number(s)					
No.						
C1	*Ritter et al., "Handbook of Computer Vision Algorithms in Image Algebra," CRC Press, Inc., Boca Raton, FL (1996), Ch. 2, 3 and 4 (pp. 51-141).	v				
C2	*Russ, John C., "The Image Processing Handbook," 2 <sup>nd</sup> Edition, CRC Press, Inc., Boca Raton, FL (1995), pp. 155-160, 211-237, 259-262, 361-371, 481-500.	v				
C3	*Cognex Press Release, "Cognex Introduces New Machine Vision System for Detecting Screen Printing Defection Printed Circuit Boards," (2 pgs.); http://www.cognex.com/press/release/products/pasteinspect.htm					
C4	International Search Report for PCT/US00/12121 mailed September 1, 2000.					
	DATE CONSIDERED	L				
	C1 C2 C3 C4	No. publisher, city and/or country where published.  C1 *Ritter et al., "Handbook of Computer Vision Algorithms in Image Algebra," CRC Press, Inc., Boca Raton, FL (1996), Ch. 2, 3 and 4 (pp. 51-141).  C2 *Russ, John C., "The Image Processing Handbook," 2 <sup>nd</sup> Edition, CRC Press, Inc., Boca Raton, FL (1995), pp. 155-160, 211-237, 259-262, 361-371, 481-500.  C3 *Cognex Press Release, "Cognex Introduces New Machine Vision System for Detecting Screen Printing Defects on Printed Circuit Boards," (2 pgs.); http://www.cognex.com/press/release/products/pasteinspect.htm.  C4 International Search Report for PCT/US00/12121 mailed September 1, 2000.				

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